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|--|---|---|--|--|--|--|--|
|  |   | Attorney Docket No.: G-   |  |  |  |  |  |
| $\gtrsim 1$  | Utility Patent Applicati n  | First Inventor: Scott A. Ciarrocca  |  |  |  |  |  |
| 5  | Transmittal   | Title: Electrosurgical Apparatus and Methods for Cutting Tissue   |  |  |  |  |  |
|  | סי  | Express Mail Label No.: EU190272872US   |  |  |  |  |  |
|  | APPLICATION ELEMENTS  | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231  |  |  |  |  |  |
|  | 1. [X] Fee Transmittal Form (e.g. PTO/SB/17)  | 7. [ ] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  |  |  |  |  |  |
|  | <ul> <li>2. [] Applicant claims small entity status.</li> <li>3. [X] Specification - Total Pages 108 <ul> <li>Descriptive title of the invention</li> <li>Cross Reference to Related Applications</li> <li>Background of the Invention</li> <li>Brief Summary of the Invention</li> <li>Brief Description of the Drawings</li> <li>Detailed Description</li> <li>Claims</li> </ul> </li> </ul>                                | 8. [] Nucleotide and/or Amino Acid Sequence Submission a. [] Computer Readable Form b. [] Specification Sequence Listing on: i. [] CD-ROM or CD-R or ii. [] paper c. [] Statements verifying identity of above copies |  |  |  |  |  |
|  | - Abstract of the Disclosure  | ACCOMPANYING APPLICATION PARTS  |  |  |  |  |  |
| Man had been had been  | 4. [X] Drawings – Total Sheet 63  | 9. [X] Assignment Papers (cover sheet/documents)  |  |  |  |  |  |
|  | 5. [X] Oath or Declaration – Total Pages 3  | 10. [ ] 37 CFR 3.73(b) Statement [ ] Power of Attorney  |  |  |  |  |  |
|  | a. [X] Newly executed (original or copy)  | 11. [ ] English Translation Document  |  |  |  |  |  |
|  | b. [ ] Copy from a prior application<br>(37 CFR 1.63(d))  | 12. [ ] Information Disclosure Statement (PTO-1449)   |  |  |  |  |  |
|  |   | 13. [ ] Preliminary Amendment   |  |  |  |  |  |
| , <sup>1</sup>   | i. [ ] Deletion of Inventors  | 14. [X] Return Receipt Postcard   |  |  |  |  |  |
| a  | 6. [X] Application Data Sheet   | 15. [ ] Certified Copy of Priority Document   |  |  |  |  |  |
| Harry street street these the first of the street street the street street the street  |   | 16. [ ] Request and Certification under 35 USC 122(b)(2)(B)(I) 17. [ ] Other  |  |  |  |  |  |
| The state of the s | 18. [X] If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.  [] Continuation [] Divisional [X] Continuation-in-Part (CIP)   |   |  |  |  |  |  |
|  | of prior application No.: 09/780,745  |   |  |  |  |  |  |
|  | Prior application information: Examiner: Group Art Unit:  |   |  |  |  |  |  |
|  | For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5B is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. |   |  |  |  |  |  |
|  | 19. Correspondence Address:  Customer Number 021394   |   |  |  |  |  |  |
|  |   | Date  |  |  |  |  |  |
| ŀ  | Reg. 38,585 /   | ATE OF MAILING  |  |  |  |  |  |
|  |   |   |  |  |  |  |  |
|  | I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated below, Express Mail Label No. EU190272872US and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231   |   |  |  |  |  |  |
|  | 1/20/02   |   |  |  |  |  |  |
|  | Katie Zarzana   | Date  |  |  |  |  |  |

Date

| 1/01PASE TRANSMITTAL   |                                       |                                      |   |        |
|--|---------------------------------------|--------------------------------------|---|--------|
| A PE I KANSMII I AI  | Filing Date: herewith                 |                                      |   |        |
| FEB 2 5 2002 For FY 2002   | First Name                            | First Named Inventor: Scott A. rocca |   |        |
|  | Examiner                              | Name                                 | e:  |        |
| Le romande de la companya de la comp | Group Art                             | Unit:                                |   |        |
| [] Applicant claims small entity status. 37 CFR 1.27   | Attorney D                            | ocke                                 | t No.: G-4  |        |
| TOTAL AMOUNT OF PAYMENT \$3,032  | <del> </del>                          |                                      |   |        |
|  | 3. ADDITI                             |                                      | EE CALCULATION (continued)  |        |
| METHOD OF PAYMENT  | Large                                 | • · · · ·                            | Small   |        |
| The Commissioner is hereby authorized to   | Entity<br>Fee Fee                     | Fee                                  | Entity  |        |
| charge indicated fees and credit any   | Code (\$)                             |                                      | le (\$)   | e Paid |
| overpayments to:   | 105 130                               | 205                                  | 65 Surcharge – late filing fee or oath  |        |
| Deposit Account No. 50-0359  | 127 50                                | 227                                  | 25 Surcharge – late provisional filing fee or cover sheet   |        |
| ArthroCare Corporation   | 139 130                               | 139                                  | 130 Non-English specification   |        |
|  | 147 2,520                             | 147                                  | 2,520 Request for ex parte reexamination  |        |
| FEE CALCULATION  | 112 920*                              | 112                                  | 920* Requesting publication of SIR<br>prior to Examiner Action  |        |
| 1. BASIC FILING FEE  | 113 1,840                             | 113                                  | 1,840*Reqesting publication of SIR after  |        |
| Large Entity Small Entity  | 115 110                               | 215                                  | Examiner Action  55. Extension for really within 1st month  |        |
| Fee F Fee Fee Description  | 116 400                               | 216                                  | 55 Extension for reply within 1 <sup>st</sup> month<br>200 Extension for reply within 2 <sup>nd</sup> month |        |
| C de (\$) Code (\$) Fee Paid   | 117 920                               | 217                                  | 460 Extension for reply within 3 <sup>rd</sup> month  |        |
| 101 740 201 370 Utility filing fee<br>106 330 206 165 Design filing fee  | 118 1,440                             | 218                                  | 720 Extension for reply within 4 <sup>th</sup> month  |        |
| 106 330 206 165 Design filing fee<br>107 510 207 255 Plant filing fee  | 128 1,960<br>119 320                  | 228<br>219                           | 980 Extension for reply within 5 <sup>th</sup> month<br>160 Notice of Appeal                                |        |
| 198 740 208 370 Reissue filing fee   | 120 320                               | 220                                  | 160 Filing a brief in support of an appeal  |        |
| 1,14 160 214 80 Provisional filing fee   | 121 280                               | 221                                  | 140 Request for oral hearing  |        |
| SUBTOTAL (1) \$740   | 138 1,510                             | 138                                  | 1,510 Petition to institute a public use  |        |
|  | 140 110                               | 240                                  | proceeding 55 Petition to revive - unavoidable  |        |
| to the state of th | 141 1,280                             | 241                                  | 640 Petition to revive – unintentional  |        |
| है फेर्ड़<br>(श्रम्  | 142 1,280                             | 242                                  | 640 Utility issue fee (or reissue)  |        |
| 2. EXTRA CLAIM FEES  | 143 460<br>144 620                    | 243                                  | 230 Design issue fee  |        |
| Fee from   | 122 130                               | 244<br>122                           | 310 Plant issue fee 130 Petitions to the Commissioner   |        |
| Extra Claims below Fee Paid  | 123 50                                | 123                                  | 50 Processing fee under 37 CFR1.17(q)   |        |
| Total Claims $\frac{124}{8}$ - 20** = $\frac{104}{5}$ x $\frac{18}{84}$ = $\frac{1,872}{420}$  | 126 180                               | 126                                  | 180 Submission of IDS   |        |
| Claims   | 581 40<br>146 740                     | 581                                  | 40 Recording assignment per property  |        |
| Multiple Dependent =   | 149 740                               | 246<br>249                           | <ul><li>370 Filing a submission after final</li><li>370 For each additional invention to be</li></ul>       |        |
| Large Entity Small Entity  |                                       |                                      | examined  |        |
| Large Entity Small Entity Fee: Fee Fee Fee Description   | 179 740                               | 279                                  | 370 Request for Continued Examination   |        |
| Code (\$) Code (\$)  | 169 900                               | 169                                  | 900 Request for expedited examination of a design application   | ĺ      |
| 103 18 203 9 Claims in excess of 20  | Other fee:                            |                                      | or a design application ,   | 1      |
| 102 <sup>±</sup> 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dep. Claim   |                                       |                                      |   |        |
| 109 84 209 42 **Reissue indp. over orig.   |                                       |                                      | SUBTOTAL (3) \$   |        |
| 110 18 210 9 **Reissue dms over 20   | •                                     |                                      | · · ·   |        |
| SUBTOTAL (2) \$ <u>2,292</u>   |                                       |                                      |   |        |
| **or number previously paid, if greater, For Reissues, see above   | *Reduced by E                         | Basic F                              | iling Fee Paid  | ĺ      |
|  | · · · · · · · · · · · · · · · · · · · |                                      |   | —      |
| SUBMITTED BY:  | <del></del>                           |                                      |   |        |
| 11   |                                       | <i>j</i> .                           |   |        |
| Ills TRANCE  | 3/                                    | 20                                   | 101   | ļ      |
| John T. Raffle//   | Date                                  |                                      | ,   |        |
| Reg. 38,585  | Dale                                  |                                      |   | Í      |
| Ph: (408) 736-0224   |                                       |                                      |   | Į.     |
| TAMBONIY   |                                       |                                      |   |        |